



SHREE HARI CHEMICALS EXPORT LIMITED

CORPORATE OFF.: 401/402, A-wing, Oberoi Chambers, Opposite Sab Tv, New Link Road, Andheri West, Mumbai 400 053.
Tel.: (91-22) 49634834 • E-mail: shreeharichem@gmail.com / info@shreeharichemicals.in
Website: www.shreeharichemicals.in • CIN No. L99999MH1987PLC044942

Date: June 02, 2021

To,
The General Manager
DCS-CRD
BSE LIMITED
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

Scrip code: 524336

Sub: Secretarial Compliance Report for the year ended March 31, 2021

Dear Sir,

Pursuant to the Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, enclosed please find 'Secretarial Compliance Report' for the year ended March 31, 2021.

For **SHREE HARI CHEMICALS EXPORT LIMITED**

URVASHI PANDYA
Company Secretary & Compliance Officer

Encl: As aforesaid

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office:

111, 11th Floor, Sai-Dwar CHS Ltd
Sab TV Lane, Opp Laxmi Industrial Estate,
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400053
Tel No 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

Secretarial Compliance Report of Shree Hari Chemicals Export Limited for the year ended March 31, 2021

To,
SHREE HARI CHEMICALS EXPORT LIMITED
A/8 MIDC Mahad Dist - Raigad Maharashtra

We Parikh & Associates have examined:

- a) all the documents and records made available to us and explanation provided by **Shree Hari Chemicals Export Limited** (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) Website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2021 (“Review Period”) in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the company during the review period)
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the company during the review period)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable to the company during the review period)
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the company during the review period)

- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the company during the review period)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

and circulars/ guidelines issued thereunder;

and based on the above examination and considering the relaxations granted by the Ministry of Corporate Affairs and Securities and Exchange Board of India warranted due to the spread of the COVID-19 pandemic, We hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations / circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	As per Regulation 23(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), the listed entity shall disclose to the stock exchange on half yearly basis, details of related party transactions.	Delay in submission of details of related party transactions for the half year ended September 30, 2020	The Company has paid necessary fine levied by BSE Limited.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE Limited ("BSE")	Delay in submission of related party transactions details as per regulation 23(9) of SEBI Listing Regulations for half year ended September 30, 2020	Fine levied	The Company has paid the fine of Rs. 11,800/- levied by BSE.

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable				

For Parikh & Associates

Place: Mumbai
Date: May 31, 2021

Digitally signed by JAYARAM UMESH POOJARI
DN: cn=J. U. Poojari, postalCode=400002, st=Maharashtra, 2.5.4.20=+ac22786871a863d810546d312a6a09fa25616652054f46d0f6c58182, email=+91-9881808707628780CAAD2074701E110, serialNumber=04060C02092065D88787DEE18A12E140883F8E6895A478604F21870C385, cn=JAYARAM UMESH POOJARI
Date: 2021.05.31 22:06:17 +05'30'

Signature:

Name of the Practicing Company Secretary: J. U. Poojari
FCS No: 8102 CP No: 8187
UDIN: F008102C000398965